



# Albemarle Corporation

## Responsible Minerals Assurance Process (RMAP)

### Public Due Diligence Report (OECD Step 5)

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*Facility:* Jiangxi Albemarle Lithium Co., Ltd.

*CID:* CID004494

*Reporting Period:* October 1, 2024 – September 30, 2025

# 1. Introduction

This report is published in accordance with **OECD Due Diligence Guidance Step 5** and the **Responsible Minerals Assurance Process (RMAP)** requirements for upstream companies. It describes the due diligence management system and activities implemented by Albemarle Corporation at **Jiangxi Albemarle Lithium Co., Ltd.** during the reporting period.

The report reflects the facility's current practices and identified improvement areas as assessed through the most recent RMAP assessment.

The purpose of this disclosure is to provide transparency to stakeholders regarding Albemarle's responsible mineral sourcing practices, while respecting business confidentiality and competitive concerns, consistent with RMAP expectations.

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## 2. About RMAP

The **Responsible Minerals Assurance Process (RMAP)** uses independent third-party assessment to evaluate whether facilities have implemented a mineral supply chain due diligence management system aligned with the **OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas**.

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## 3. Due Diligence Report

### 3.1 Company and Facility Information

- **Company name:** Albemarle Corporation
  - **Facility name:** Jiangxi Albemarle Lithium Co., Ltd.
  - **CID:** CID004494
  - **Facility address:** Industrial Park, Fenyi County, Xinyu City, Jiangxi Province, China
  - **Material processed:** Lithium (primary material – lithium spodumene)
  - **Type of sourcing:** Large Scale Mining (LSM) operation in Australia
  - **Reporting period:** October 1, 2024 – September 30, 2025
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### 3.2 RMAP Assessment Summary

- **Assessment type:** Initial RMAP Assessment
- **Assessment dates:** October 27–28, 2025
- **Assessment period covered:** October 1, 2024 – September 30, 2025

- **Audit firm:** Greenment
  - **Audited standard:** Global Responsible Sourcing Due Diligence Standard for Mineral Supply Chains – All Minerals
  - **Summary Assessment Report:**  
A Summary Assessment Report was completed following the onsite assessment. At the time of publication of this report, the assessment outcome includes a combination of conformances and non-conformances that are subject to corrective action. Corrective Action Plans (CAPs) have been initiated to address these findings in accordance with RMI requirements.
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### 3.3 Company Supply Chain Policy

Albemarle has adopted a publicly available **Responsible Sourcing Policy**, which was reviewed as part of the RMAP assessment. The policy includes commitments aligned with the OECD Due Diligence Guidance, including Annex II risk categories.

**Policy link:** [Responsible Sourcing Policy](#)

The policy:

- Covers all minerals relevant to Albemarle's activities;
  - Commits to OECD-aligned due diligence steps;
  - Describes risk identification and management expectations; and
  - Is communicated to suppliers through commitment letters and procurement processes.
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### 3.4 Company Management System

#### Management structure

Oversight of the due diligence management system is assigned to Albemarle's **Global Ethics and Compliance Leadership**, with implementation support from sustainability, procurement, quality, production, warehouse, and supply chain functions at the facility.

Senior management conducts an **annual management review** of the due diligence system, including performance and improvement actions.

#### **Assessment finding (transparency statement):**

The assessment identified gaps related to formal assignment of responsibilities, internal accountability, and training coverage across relevant departments. Corrective actions are being developed to address these gaps.

## Internal systems of control

The facility maintains documented procedures to:

- Record and verify incoming materials, including origin, quantity, and documentation;
- Reconcile inventory of spodumene on a monthly basis; and
- Control material movement throughout production and storage.
- Supplier engagement supports implementation of due diligence expectations. While due diligence performance is reviewed internally, suppliers are expected to operate in line with OECD- aligned principles, as set out in Albemarle's Business Partner Code of Conduct.

A grievance mechanism aligned with the **UN Guiding Principles on Business and Human Rights** is available to internal and external stakeholders and is publicly disclosed.

## Record-keeping

The facility maintains supply chain due diligence records for **at least five years**, using both physical and digital storage systems, consistent with OECD and RMAP requirements.

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## 3.5 Risk Identification

### Methodology

The facility has procedures in place to collect, review and assess relevant due diligence information on an ongoing basis, including during the reporting period. This includes information related to:

- Mineral origin and transportation routes;
- Mining type (LSM);
- Sanctions lists and country risk information; and
- Supplier documentation and transaction records.

The facility determined that no Conflict-Affected and High-Risk Areas (CAHRAs) and no red flags were identified within the scope of the review. All material originated from a single mine in Australia. Accordingly, no Annex II on-the-ground risk assessments were initiated during the reporting period.

The facility has established processes for CAHRA definition, red flag escalation, and risk assessment. These processes are subject to ongoing review and continuous improvement, and enhancement actions have been identified and are being implemented to further strengthen the processes.

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## 3.6 Risk Mitigation

Based on the assessment results, the facility did **not** identify high-risk sourcing during the reporting period. Therefore, enhanced due diligence and risk mitigation actions under OECD Step 3 were **not applicable**. Risk mitigation procedures will be applied where risks are identified following implementation of enhanced risk identification and assessment processes.

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## 4. Publication Status and Commitment

This report is published to meet **OECD Step 5** and **RMAP** disclosure expectations. Albemarle is committed to:

- Addressing all identified non-conformances through corrective action plans;
  - Strengthening management accountability, CAHRA methodology, KYC, and risk assessment processes; and
  - Continuing annual public reporting on supply chain due diligence.
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